

PATENT  
0459-0636P

## IN THE U.S. PATENT AND TRADEMARK OFFICE

Applicant: LAURSEN, Inga et al. Conf.: 8992  
Appl. No.: 09/902,174 Group: 1644  
Filed: July 10, 2001 Examiner: SAUNDERS, D.  
For: PROCESS FOR PRODUCING IMMUNOGLOBULINS  
FOR INTRAVENOUS ADMINISTRATION AND  
OTHER IMMUNOGLOBULIN PRODUCTS

INFORMATION DISCLOSURE STATEMENT  
(SUBMISSION AFTER FILING OF AN APPLICATION  
BUT BEFORE FINAL REJECTION OR NOTICE OF ALLOWANCE  
OR CONCURRENTLY WITH A RULE 1.114 RCE APPLICATION)

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

September 13, 2004

Sir:

Pursuant to 37 C.F.R. §§ 1.97 and 1.98, applicant(s) hereby submit(s) an Information Disclosure Statement for consideration by the Examiner.

I. LIST OF PATENTS, PUBLICATIONS OR OTHER INFORMATION

The patents, publications, or other information submitted for consideration by the Office are listed on the PTO-1449(s), attached hereto.

II. COPIES (check at least one box)

- a. ☒ This application was filed before June 30, 2003. Accordingly, submitted herewith is a legible copy of (i) each U.S. and foreign patent; (ii) each publication or that portion which caused it to be listed; and (iii) all other information or that portion which caused it to be listed. \*\*
- b. ☐ This application was filed on or after June 30, 2003. Accordingly, copies of cited U.S. patents and patent application publications therefore are not included. Copies of foreign

patent documents and non-patent literature are included.

- c. ☐ Some or all of the documents listed on the PTO-1449 are not enclosed because they were cited in the International Search Report and copies should already be in the PTO file. If copies are needed, please contact the undersigned.

\*\* This IDS is being fax filed concurrent with the filing of an RCE. But because of the size and number of cited references, only one of the references is being filed via facsimile and the remainder of the references will be hand carried to the USPTO.

**III. CONCISE EXPLANATION OF THE RELEVANCE**  
(check at least one box)

- a. ☒ **DOCUMENTS IN THE ENGLISH LANGUAGE**

The patents, publications, or other information listed on the attached PTO 1449 are in the English language and therefore, do not require a statement of relevancy.

- b. ☒ **DOCUMENTS NOT IN THE ENGLISH LANGUAGE**

A concise explanation of the relevance of all patents, publications, or other information listed that is not in the English language is as follows:

The Reference(s):

- GAMMAGARD<sup>®</sup> S/D contains - an English Translation is attached.
- WO 94/29334 - contains an English Abstract on the first page of the patent.
- DE3430320 - attached is an English Abstract.

- c. ☐ **ENGLISH LANGUAGE SEARCH REPORT**

An English language version of the search report or action that indicates the degree of relevance found by the foreign office is attached, thereby satisfying the requirement for a concise explanation. See MPEP 609(III)(A)(3).

- d. ☐ **OTHER**

The following additional information is provided for the Examiner's consideration.

FEEESIV. ☒ THIS IDS IS BEING FILED UNDER 37 C.F.R. § 1.97(b):  
(check one box)

- a. ☐ within three months of the filing date of a national application (37 C.F.R. § 1.97(b)(1)). No fee or statement is required. (This section is not to be used with RCE's.)
- b. ☐ within three months of the date of entry of the national stage as set forth in § 1.491 in an international application (37 C.F.R. § 1.97(b)(2)). No fee or statement is required.
- c. ☒ concurrently with the filing of a Request for Continued Examination under § 1.114 (37 C.F.R. § 1.97(b)(4)). No fee or statement is required.
- d. ☐ before the mailing date of a first Action on the merits (37 C.F.R. § 1.97(b)(3)). No fee or statement is required.  
In the event that a first Office Action on the merits has been issued, please consider this IDS under 37 C.F.R. § 1.97(c) and see the statement under 37 C.F.R. § 1.97(e) below, or, if no statement has been made, charge our deposit account in the amount of \$180.00 as required by 37 C.F.R. § 1.17(p).

V. ☐ THIS IDS IS BEING FILED UNDER 37 C.F.R. § 1.97(c):  
(check one box)

before the mailing date of a Final Office Action under 37 C.F.R. § 1.113 (See 37 C.F.R. § 1.97(c)(1)) or before the mailing date of a Notice of Allowance under 37 C.F.R. § 1.311 (See 37 C.F.R. § 1.97(c)(2)).

- a. ☐ No statement; therefore, a fee in the amount of \$180.00 as required by 37 C.F.R. § 1.17(p).  
or
- b. ☐ See the statement below. No fee is required.

VI. STATEMENT UNDER 37 C.F.R. § 1.97(e) (check only one box)

The undersigned hereby states that

- a. ☐ each item of information contained in the IDS was first cited in any communication from a foreign Patent Office in a counterpart foreign application not more than 30 days prior to the filing of this IDS; or
- b. ☐ each item of information contained in the IDS was first cited in any communication from a foreign Patent Office in a counterpart foreign application not more than three months prior to the filing of this IDS; or
- c. ☐ no item of information contained in the IDS was cited in a communication from a foreign Patent Office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of IDS was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of the IDS.
- d. ☐ Some of the items of information were cited in a communication from a foreign Patent Office. As to this information, the undersigned states that each item of information contained in the IDS was first cited in a communication from a foreign Patent Office in a counterpart foreign application not more than three months prior to the filing of this IDS. As to the remaining information, the undersigned hereby states that no item of this remaining information contained in the IDS was cited in a communication from a foreign Patent Office in a counterpart foreign application and, to the best of my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this statement.

Appl. No.

VII. PAYMENT OF FEES (check one box) :

- ☐ A check in the amount of \$180.00 as required by 37 C.F.R. § 1.17(p) is enclosed for the above-identified fee.
- ☐ Please charge Deposit Account No. 02-2448 in the amount required by 37 C.F.R. § 1.17(p) for the above-indicated fee. A triplicate copy of this paper is attached.
- ☒ No fee is required.

If the Examiner has any questions concerning this IDS, he/she is requested to contact the undersigned. If it is determined that this IDS has been filed under the wrong rule, the PTO is requested to consider this IDS under the proper rule and charge the appropriate fee to Deposit Account No. 02-2448.

If necessary, the Commissioner is hereby authorized in this, concurrent, and future replies, to charge payment or credit any overpayment to Deposit Account No. 02-2448 for any additional fees required under 37 C.F.R. § 1.16 or under § 1.17; particularly, extension of time fees.

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On Sept. 13, 2004  
Santha B. Sivasubramanian  
Signature  
Santha B. Sivasubramanian  
Typed or printed name of person signing certificate

Respectfully submitted,

BIRCH, STEWART, KOLASCH &amp; BIRCH, LLP

By Leonard R. Svensson  
Leonard R. Svensson, #30,330

LRS/KR/sbp  
0459-0636P

P.O. Box 747  
Falls Church, VA 22040-0747  
(703) 205-8000

Attachment(s) : ☒ PTO-1449  
☒ Documents  
☐ Foreign Search Report  
☐ Fee  
☒ Other: Gammard English Translation Document

(Rev. 05/14/2004)

Form PTO-1449				ATTY. DOCKET NO. 0459-0636P		APPLICATION NO. 09/902,174	
<b>INFORMATION DISCLOSURE CITATION IN AN APPLICATION</b>				APPLICANT LAURSEN, Inga et al.			
(Use several sheets if necessary)				FILING DATE July 10, 2001		GROUP 1644	
<b>U.S. PATENT DOCUMENTS</b>							
EXAMINER INITIAL	DOCUMENT NUMBER	Kind	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	US 5,164,487		1992-11-17	Kothe et al.			
	US 6,069,236		2000-05-30	Burnouf-Radosevich et al.			
	US 5,593,675		1997-01-14	Hodler et al.			
	US 5,177,194		1993-01-05	Sarno et al.			
	US 5,886,154		1999-03-23	Lebing et al.			
	US 08/997,952		1997-12-24	RAJA R. MAMIDI			
	US						
	US						
	US						
	US						
<b>FOREIGN PATENT DOCUMENTS</b>							
Office	DOCUMENT NUMBER	Kind	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION
							YES NO
DE	3430320		1998-03-25	GERMANY			
AU	199870099	A1	1998-12-24	AUSTRALIA			
AU	747893		1999-07-19	AUSTRALIA			
WO	94/29334	A1	1994-12-22	PCT			
<b>OTHER DOCUMENTS</b> (Include Name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.)							
Vandersande, J. "Current Approaches to the Preparation fo Plasma Fractions," Biotechn., 1991, vol. 19: 165-176.							
Page, M. et al., "IgG Purification," Methods in Mol. Biol, 1998, vol. 80: 95-111.							
Doonan, S. "Protein Purification Protocols," Methods in Molecular Biology, Vol. 59: 164-165,179,401.							
HARLOW et al., "Storing and Purifying antibodies," Antibodies - A Laboratory Manual, 1998, 283-318.							
EXAMINER				DATE CONSIDERED			
EXAMINER: Initial if citation considered, whether or not citation is in conformance with M.P.S.P. 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							

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	US							
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<b>FOREIGN PATENT DOCUMENTS</b>								
Office	DOCUMENT NUMBER	Kind	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION	
							YES	NO
<b>OTHER DOCUMENTS</b> (include Name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.)								
	STEVENS, L., "Buffers," Labfax, Bios Scientific Publ. Ltd., Oxford, UK, 1996: 21-26.							
	PRICE, N.C., "The Determination of Protein Concentration," Labfax, Bios Scientific Publ. Ltd., Oxford, UK, 1996: 33-63.							
	GAMMAGARD® S/D, Product Information from February 1994, Baxter Deutschland GmbH, Edisonstr. 3-4, D-85716 Unterschleißheim.							
	NOURICHAFFI, N. et al., "Comparison of various chromatographic...", Biotechnology of Blood Proteins, 1993, vol. 227: 207-212.							
	ANDERSON et al., "An improved chromatography method...", XXII Congress of the International Society of Blood Transfusion, Amsterdam, the Netherlands, 1994, July 2-8, Published by Pharmacia Biotech AB, S-75182 Uppsala SWEDEN							
	Page et al., "Preparation of Purified Immunoglobulin G (IgG)," Methods in Molecular Biology, vol. 32, 1994: 407-431.							
EXAMINER					DATE CONSIDERED			
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